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May 11, 2005

VIA EXPRESS MAIL (LABEL #ED696272494US)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> Inventor: Wulfman, David R. et al. U.S. Application No.: unknown

International Application No: PCT/US03/36146 International Filing Date: 13 November, 2003

Title: WAVEGUIDE SYSTEM FOR DETECTION OF FLUORESCENTLY LABELED

NUCLEIC ACID SEQUENCES Attorney Docket No.: 96196

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing with the United States Patent and Trademark Office, please find:

- 1. Transmittal Letter, including mailing by Express Mail under 37 C.F.R. 1.10;
- 2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390 – 3 Sheets); and,
- 3. Postage Paid Postcard Itemizing Above Documents.

Please charge Deposit Account 500937 \$4,350.00 for all filing fees and any other additional fees required at this time. Please also note that the required Declaration of Inventorship (for the purposes of the designation of the United States of America) (Rules 4.17(iv) and 51bis.1(a)(iv) and Section 214) has been acknowledged as received as of 29 March, 2004. Therefore, no additional Declaration of Inventorship is being included at this time.

If you have any questions, please contact me.

Very truly yours.

Malcolm D. Reid Registration No. 27,065 Attorney of Record

Mail Stop PCT Commissioner for Patents Page 2 May 11, 2005

Certificate of Mailing by Express Mail under 37 C.F.R. 1.10

Express Mail label number: <u>ED696272494US</u>. Date of Deposit: May 11, 2005. I hereby certify that the following identified correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450: Transmittal Letter, including mailing by Express Mail under 37 C.F.R. 1.10 (2 Sheets); Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) — Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390 – 3 Sheets); and, Postage Paid Postcard Itemizing Above Documents.

Judy Sigal gudy Sigal

GP:1711587 v1

JC03 Rec'd PCT/PTO 1 1 MAY 20159 (Rev. 02-2005)

Approved for use through 3/31/2007 OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 96196-DIV-001 U.S. APPLICATION NO. (# known se 37 CFR 1.5)

INTERNATIONAL AR PCT/US03/361		INTERNATIONAL FILING DATE 11/13/2003	PRIORITY DATE CLAIMED 11/13/2002								
TITLE OF INVENTION WAVEGUIDE SYSTEM FOR DETECTION OF FLUORESCENTLY LABELED NUCLEIC ACID SEQUENCES											
APPLICANT(S) FOR DO/EO/US Wulfman, David R. and Baas, Tracey L.											
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1. X This is a FI											
2. This is a SE	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
4. The US ha	The US has been elected (Article 31).										
5. X A copy of	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
а. 🔲	a. Is attached hereto (required only if not communicated by the International Bureau).										
b. 🗓	b. x has been communicated by the International Bureau.										
с. 🗀	c. is not required, as the application was filed in the United States Receiving Office (RO/US).										
6. An Englis	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).										
а. 🗆	Is attached hereto.										
ь. 🔲	has been previously submit	ted under 35 U.S.C. 154(d)(4).									
7. 🖾 Amendme	ents to the claims of the Inter	rnational Application under PCT Article 19 (3	35 U.S.C. 371(c)(3))								
а. 🗌	are attached hereto (requir	ed only if not communicated by the Internati	ional Bureau).								
b. 🗓	b. x have been communicated by the International Bureau.										
с. 🗆	have not been made; howe	ever, the time limit for making such amendm	ents has NOT expired.								
d. 🗆	have not been made and w	Ill not be made.									
8. An Englis	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).										
9. An oath o	r declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
	h language translation of the (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Ex	xamination Report under PCT .								
Items 11 to 20 below concern document(s) or information included:											
11. An Inform	ation Disclosure Statement	under 37 CFR 1.97 and 1.98.									
12. An assign	ment document for recording	g. A separate cover sheet in compliance with	h 37 CFR 3.28 and 3.31 is included.								
13. A prelimir	A preliminary amendment.										
14. An Applic	An Application Data Sheet under 37 CFR 1.76.										
	ite specification.										
16. A power of	of attorney and/or change of	address letter.									
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.										
	A second copy of the published International Application under 35 U.S.C. 154(d)(4).										
19. A second	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).										
20. Other item	s or information:										

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION	NO. (if known	, see 37 CFR 1.5)	INTERNATIONAL A	PPLICATION NO.	ATTORNEY'S DO	CKET NUMBER
10/534625 PCT/US03/36146				96196		
	ng fees have b	CALCULATIONS	PTO USE ONLY			
21. 🗓 Basic na	ational fee	\$ 300.00				
22. 🔀 Examina If International prelin PCT Article 33(1). All other situations	ninary examina -(4)	\$ 100.00				
International International Search	1.445(a)(2)) ha Searching Autl Report prepare	nority ed and provided to	e international application to the Office	\$100 \$400	\$ 100.00	
	TAL OF 21, 22	\$ 500.00	<u> </u>			
sequence listing	or computer p	program listing filed	in paper over 100 sheets (ed in an electronic medium). paper or fraction thereof.	excluding		
Total Sheets E	xtra Sheets		additional 50 or fraction p to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$	
Surcharge of \$130.00 claimed priority date		\$				
CLAIMS	NUMBI	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	140	- 20 =	120	x \$50	\$ 6,000.00	
Independent claims	14	- 3 =	11	x \$200	\$ 2,200.00	
MULTIPLE DEPEND) (if applicable)		+ \$360	\$	1
			TOTAL OF ABOVE	CALCULATIONS =	\$ 8,700.00	
X Applicant claims	small entity sta	atus. See 37 CFR	1.27. Fees above are redu	ced by 1/2.		
				SUBTOTAL =	\$ 4,350.00	-
Processing fee of \$13 claimed priority date		\$				
			TOTA	L NATIONAL FEE =	\$ 4,350.00	
Fee for recording the by an appropriate cov		\$				
			TOTAL I	EES ENCLOSED =	\$ 4,350.00	<u> </u>
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			FR 1.495 has not been ment of pending status.	et, a petition to revive	(37 CFR 1.187(a) or (b)) must be filed
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